

- PATENT APPLICATION -  
RECEIVED Attorney Docket No. 2683/74619 -

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Applicant: Satyan G. Pitroda

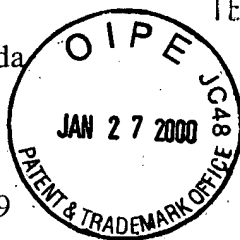
Serial No.: 09/372,365

Filed: August 11, 1999

Title: SYSTEM AND METHODS FOR  
SERVICING ELECTRONIC  
TRANSACTIONS

Examiner:

Art Unit: 2761



TECH CENTER 2700

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on the date below.

*Walter J. Nanda*

(Signature)

*1/20/2000*

(Date)

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §1.97-98 and in accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicant submits the following documents (copies enclosed and listed on enclosed Form PTO-1449) to the Examiner so that they may be considered and made of record in the above-referenced application.

**U.S. PATENTS**

<u>U.S. Patent No.</u>	<u>Date of Issue</u>	<u>Patentee (s)</u>
Re.28,081	07/1974	Travioli
4,305,059	12/1981	Benton
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Williams

## OTHER DOCUMENTS

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PC Magazine - March 29, 1994, TRENDS, Trends & Technology Shaping the Personal Computer Market, "The PC in Your Wallet", publication (1 page).

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"SmartTV<sup>TM</sup> ", 3/1/97 (four pages plus cover page).

CyberCash Microsoft CPC Wallet, August 3, 1999 - "What is the Microsoft Wallet?" - Internet publication (2 pages) - <http://www.cybercash.com/ms/coincpc/description.htm>.

Ilium Software's eWallet - "eWallet<sup>TM</sup>" All your Important Information Secure, Convenient, Centralized Handheld, Palmsize and Desktop PCs - July 26, 1999, Internet publication (3 pages) - <http://www.iliumsoft.com/wallet.htm>.

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Welcome To EntryPoint - "Welcome to entryptoint !" - "PointCast + Ewallet = entryptoint<sup>TM</sup>", September 22, 1999, Internet publication (15 pages) - <http://www.entryptoint.com/>.

PALM WARS - "3COM tries to solve its palm problem" - "The creators of the Palm launch a new device, and 3Com fights back" by Daniel Roth. FORTUNE, pgs. 111-112, Oct. 11, 1999 (2 pages).

NOKIA - "Next Up for Cell Phones: Weaving A Wireless Web" by Janet Guyon. FORTUNE, pgs. 61-66, October 25, 1999 (6 pages).

"Undated Brochure - Geneva - nOw" -Hewlett Packard - Tribune De Geneve, (2 pages).

TOSHIBA - Mobile Multimedia - "TEGACKY PM-T101 - Touch-Pen Entry PHS Phone" - (1 pg.).

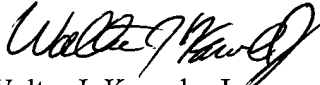
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Documents including a reference to a universal resource locator address ("http://") were electronically available at the indicated address on the indicated date. No representation is made as to whether these documents are "printed publications." Also, no representation is made as to when the documents were first made available at the indicated addresses.

Applicant respectfully requests that the Examiner consider the above-listed patents and other documents in the examination of this application and list these references of record in the application.

Respectfully submitted,

WELSH & KATZ, LTD.

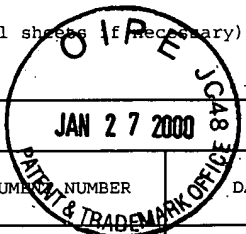
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January 20, 2000

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Form PTO-1449 (Rev. 8-88)		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		ATTY. DOCKET NO. <b>RECEIVED</b> 2683/74619		SERIAL NO. 09/372,365	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				APPLICANT <b>JAN 31 2000</b> Satyan G. Pitroda			
				FILING DATE <b>JAN 27 2000</b> 08/11/99		GROUP 2761	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	Re.28,081	07/1974	Travioli	235	61.11E	
	AB	4,305,059	12/1981	Benton	340	825.33	
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	AD	4,454,414	06/1984	Benton	235	379	
	AE	4,491,725	01/1985	Prichard	364	408	
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	AH	4,634,845	01/1987	Hale et al.	235	350	
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	AJ	4,689,478	08/1987	Hale, et al.	235	380	
	AK	4,692,601	09/1987	Nakano	235	380	
	AL	4,705,211	11/1987	Honda et al.	235	380	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
							YES NO
	AM	GB2,255934A	11/25/92	United Kingdom	B6A AC	AC91 AK	X
	AN						
	AO						
	AP						
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	AQ	SPRINT PRIORITY GOLD <sup>SM</sup> Newsletter, March 11, 1994, - "the Voice F NCARD <sup>SM</sup> " 1994 Sprint Communications Co. L.P.(2 pgs).					
	AR	PC MAGAZINE - March 29, 1994, TRENDS, Trends & Technology Shaping the Personal Computer Market, "The PC in Your Wallet", publication (1 page).					
	AS	BUSINESS LIFE Magazine, December/January 1994/95, "The Era of the Smart Card", publication (4 pages).					
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP, § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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				FILING DATE <div style="text-align: center; font-weight: bold; font-size: 1.2em;">08/11/99</div>		GROUP <div style="text-align: center; font-weight: bold; font-size: 1.2em;">2761</div>	
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FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO
	BO						
	BP						
	BQ						
	BR						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	BS	MONDEX MAGAZINE - The World of Mondex Global Electronic Cash, Summer 1996, "What's up doc? - The smart way to pay for infotainment", publication (3 pages).					
	BT	"SmartTV tm", 3/1/97 (four pages plus cover page).					
	BU	CyberCash Microsoft CPC Wallet, August 3, 1999 - "What is the Microsoft Wallet?" - Internet publication (2 pages) - <a href="http://www.cybercash.com/ms/coincpc/description.html">http://www.cybercash.com/ms/coincpc/description.html</a>					
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INFORMATION DISCLOSURE CITATION				<div style="display: flex; justify-content: space-between;"> <div> RECEIVED  JAN 31 2000  TECH CENTER 2700 </div> <div> APPLICANT  Satyan G. Pitroda </div> </div>				
(Use several sheets if necessary)				FILING DATE 08/11/99		GROUP 2761		
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	CD	5,055,968	10/1991	Nishi et al.	361	395		
	CE	5,068,521	11/1991	Yamaguchi	235	492		
	CF	5,150,420	09/1992	Haraguchi	235	380		
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	CJ	5,189,287	02/1993	Parienti	235	375		
	CK	5,218,188	05/1993	Hanson	235	375		
	CL	5,276,311	01/1994	Hennige	235	492		
	CM	5,301,105	04/1994	Cummings, Jr.	364	401		
	CN	5,590,038	12/1996	Pitroda, S.G.	395	241		
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO
	CO							
	CP							
	CQ							
	CR							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	CS	Ilium Software's eWallet - "eWallet™" All your Important Information Secure, Convenient, Centralized Handheld, Palmsize and Desktop PCs - July 26, 1999, Internet publication (3 pages) - <a href="http://www.iliumsoft.com/wallet.htm">http://www.iliumsoft.com/wallet.htm</a> .						
	CT	Ilium Software's KeepTrack for Windows CE - "Keep Track" - Version 2.0: Many New Features Credit, Debit, ATM card transactions - Handheld, Palmsize and Desktop PCs, July 26, 1999 Internet publication (3 pages) - <a href="http://www.iliumsoft.com/keeptrk.htm">http://www.iliumsoft.com/keeptrk.htm</a> .						
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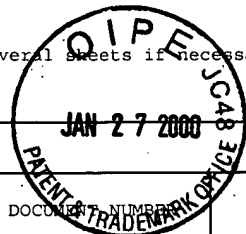
TECH CENTER 2760

FILING DATE

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							YES	NO
	DO							
	DP							
	DQ							
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DS	Ilium Software Products - Product Information - July 26, 1999, Internet publication (2 pages) - <a href="http://www.iliumsoft.com/products.htm">http://www.iliumsoft.com/products.htm</a>
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	EP							
	EQ							
	ER							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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ET	TOSHIBA - Mobile Multimedia - "TEGACKY PM-T101 - Touch-Pen Entry PHS Phone" - (1 pg.).
EU	Publication Unknown - Technology - "SMALL IS BEAUTIFUL" - (3 pages).

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